

JOHN A. LAHIVE, JR. (1928-1997) THOMAS V. SMURZYNSKI RALPH A. LOREN GIULIO A. DeCONTI, JR. ANN LAMPORT HAMMITTE **ELIZABETH A. HANLEY** AMY BAKER MANDRAGOURAS ANTHONY A. LAURENTANO KEVIN J. CANNING JANE E. REMILLARD DeANN FORAN SMITH JEANNE M. DIGIORGIO DEBRA J. MILASINCIC, Ph.D. NICHOLAS P. TRIANO, III DAVID J. RIKKERS DAVID R. BURNS JOHN S. CURRAN

SEAN D. D. LER CYNTHIA L. KANIK, Ph.D. MEGAN E. WILLIAMS, Ph.D. RICHA NAND MICHAEL PHILLIPPS *

SENIOR COUNSEL JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD, JR.
PETER C. LAURO *

PATENT AGENTS
PETER S. STECHER

TECHNICAL SPECIALISTS
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.**
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
EUIHOON LEE
LISA M. DIROCCO
JENNIFER K. ROSENFIELD
JUDITH STONE-HULSLANDER, Ph.D.
ALLAN TAMESHTIT, Ph.D.
CATHERINE E. McPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
ASHITA DOSHI, Ph.D.
JACOB G. WEINTRAUB

* Admitted in NY only
** Passed the Patent Bar Examination

July 11, 2001

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application No.: 09/825,536

Entitled: Methods and Systems for Computer Instruction Using a

Graphical User Interface

Filed: April 2, 2001

Inventor: Thomas M. Sirhall Our Reference No. SMQ-055

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Response to Notice To File Missing Parts of Application (in duplicate);
- 2. Executed Declaration, Petition and Power of Attorney;
- 3. Copy of the Formalities Letter;
- 4. Request for Correction of Filing Receipt;
- 5. Copy of Filing Receipt (PTO-103X) with changes marked thereon;
- 6. Check for \$130.00 (declaration surcharge); and
- 7. Return postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on:

July 11, 2001

Date

Kevin J. Canning, Reg. No. 35,470

Respectfully submitted, LAHIVE & COCKFIELD, LLP

Kevin J. Canning Reg. No. 35,470 28 State Street Boston, MA 02109 Tel. (617) 227-7400



In re the application of: Thomas M. Sirhall

Group Art Unit: 2672

Serial No.: 09/825,536

Examiner:

Filed: April 2, 2001

For: METHODS AND SYSTEMS FOR COMPUTER INSTRUCTION USING A GRAPHICAL USER

INTERFACE

Attorney Docket No.: SMQ-055

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date set forth below.

July 11, 2001

By:

Date of Signature and of Mail Deposit

Kevin J. Canning Reg. No. 35,470

Attorney for Applicant

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated May 11, 2001, Applicant's attorney submits herewith the executed Declaration, Petition and Power of Attorney for Patent Application for the above-identified patent application. A check in the amount of \$130.00 is enclosed to cover the surcharge based on large entity status. A copy of Formalities Letter is also enclosed.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

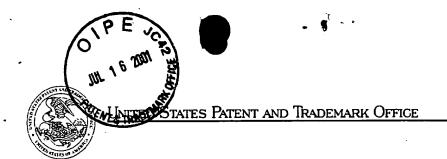
Respectfully submitted,

Kevin J. Canning

Registration No. 35,470 Attorney for Applicant

LAHIVE & COCKFIELD, LLP 28 State Street Boston, MA 02109 Tel. (617) 227-7400

Dated: July 11, 2001



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspta.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/825,536

04/02/2001

Thomas M. Sirhall

SMO-055

CONFIRMATION NO. 9786

000959 **LAHIVE & COCKFIELD** 28 STATE STREET **BOSTON, MA 02109**

FORMALITIES LETTER

Date Mailed: 05/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/18/2001 MABDI1 00000030 09825536

01 FC:105

130.00 OP

RECEIVED LAHIVE & COCKFIELD DOCKET DEPT.

MAY 1 6 2001

RETRIEVED:

FORWARDED:

In re the application of: Thomas M. Sirhall

Serial No.: 09/825,536

Red: April 2, 2001

or: METHODS AND SYSTEMS FOR COMPUTER INSTRUCTION USING A GRAPHICAL USER

INTERFACE

Attorney Docket No.: SMQ-055

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application does not list the Applicant's address. Please insert the Applicant's address as follows:

8158 W. Chestnut Avenue, Littleton, CO 80128

Applicant requests that the Filing Receipt be corrected. A copy of the Filing Receipt with the changes noted thereon is submitted herewith.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on:

May Ce

Kevin J. Canning, Esq. Reg. No.: 35,4700

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Group Art Unit: 2672

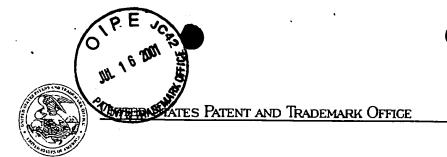
Examiner:

Kevin J. Canning Reg. No. 35,470

28 State Street

Boston, MA 02109

Tel. (617) 227-7400



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspta.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS 09/825,536 04/02/2001 2672 790 SMQ-055 6 20

CONFIRMATION NO. 9786

FILING RECEIPT

OC000000006065669

000959 LAHIVE & COCKFIELD 28 STATE STREET **BOSTON, MA 02109**

Date Mailed: 05/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas M. Sirhall, Residence Not Provided: 8158 W. Chestnut Que. Li Hieton CO 80128

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

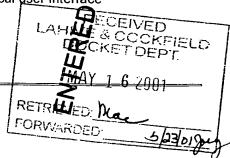
Early Publication Request: No

Title

Methods and systems for computer instruction using a graphical user interface

Preliminary Class

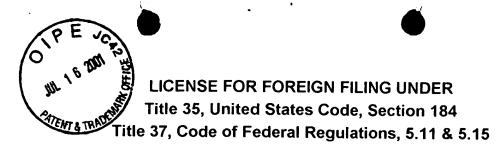
345



Data entry by : MOGUSS, ZENEBEWORK

Team : OIPE

Date: 05/11/2001



GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231